

Summary of Contents

Contents xi
Preface to the Fourth Edition xxv
About the Author xxxvii

SECTION I	INTRODUCTION TO THE CRIMINAL JUSTICE SYSTEM	1
	Chapter 1 The Criminal Justice Process	3
	Chapter 2 The Nature of Crime	9
	Chapter 3 Investigation of Crime in the United States	23
	Chapter 4 Prosecution of Crime in the United States	41
SECTION II	CRIMINAL LAW AND CRIMINAL PROCEDURE	65
	Chapter 5 The Distinction Between Criminal Law and Criminal Procedure	67
SECTION III	SUBSTANTIVE CRIMINAL LAW	75
	Chapter 6 Principles of Criminal Jurisdiction	77
	Chapter 7 The American Federal System and Criminal Jurisdiction	91
	Chapter 8 Constitutional Limits on the Power to Define Crimes	121
	Chapter 9 Accountability for Criminal Conduct	147

Chapter 10	Categories and Types of Criminal Offenses	181
Chapter 11	How Criminal Offenses Are Defined	209
Chapter 12	Affirmative Defenses—Excuse	261
Chapter 13	Affirmative Defenses—Justification	313
Chapter 14	Affirmative Defenses—Failure of Proof and Offense Modification Defenses	333
Chapter 15	Affirmative Defenses—Non-Exculpatory Defenses	351
SECTION IV	CRIMINAL PROCEDURE	379

Chapter 16	Criminal Procedure During the Investigative Stage—Search and Seizure	381
Chapter 17	Criminal Procedure During the Investigative Stage—Privilege Against Self-Incrimination and Other Rights	443
Chapter 18	Criminal Procedure at the Charging Stage	485
Chapter 19	Criminal Procedure During the Pre-Trial Stage	527
Chapter 20	Criminal Procedure During the Trial Stage	561
Chapter 21	Criminal Procedure During the Post-Trial Stage—Sentencing and Beyond	589

<i>Glossary</i>	625
<i>Table of Cases</i>	631
<i>Index</i>	647

Contents

Preface to the Fourth Edition xxv

About the Author xxxvii

Section I	INTRODUCTION TO THE CRIMINAL JUSTICE SYSTEM	1
CHAPTER 1	THE CRIMINAL JUSTICE PROCESS	3
	Chapter Objectives	3
	Chapter Term	7
	Review Questions	7
	Additional Reading	7
CHAPTER 2	THE NATURE OF CRIME	9
	Chapter Objectives	9
	A. What Is Crime?	10
	<i>Mossew v. United States</i>	11
	B. Classification of Crimes	13
	1. Felony and Misdemeanor Classification	14
	2. <i>Malum in Se</i> and <i>Malum Prohibitum</i> Classification	14
	3. Crimes of Moral Turpitude	15
	4. White Collar Crime and Street Crime	15
	C. Distinction Between Criminal Law and Civil Law	17
	Chapter Terms	20
	Review Questions	20
	Additional Reading	20

CHAPTER 3	INVESTIGATION OF CRIME IN THE UNITED STATES	23
------------------	--	-----------

Chapter Objectives		23
A. Different Types of Law Enforcement Agencies		24
B. Types of Criminal Investigations		27
1. Informal Investigations		27
2. Formal Investigations		28
a. Administrative Investigations		28
<i>Department of Revenue v. Continental Illinois Bank & Trust Co.</i>		29
b. Judicial Process Investigations		31
<i>United States v. Devine</i>		36
Chapter Terms		38
Review Questions		38
Additional Reading		38

CHAPTER 4	PROSECUTION OF CRIME IN THE UNITED STATES	41
------------------	--	-----------

Chapter Objectives		41
A. Officials That Are Charged with Prosecuting Crime in the United States		42
1. Prosecution at the Federal Level		42
2. Criminal Prosecution in the States		44
B. The Branch of Government of Which the Prosecutor Is a Part		45
C. Nature and Exercise of Prosecutorial Discretion		46
<i>In re United States</i>		46
<i>In re United States</i>		50
Chapter Terms		61
Review Questions		61
Outside Assignments		62
Additional Reading		62

Section II	CRIMINAL LAW AND CRIMINAL PROCEDURE	65
<hr/>		
CHAPTER 5	THE DISTINCTION BETWEEN CRIMINAL LAW AND CRIMINAL PROCEDURE	67
<hr/>		
	Chapter Objectives	67
	A. Substantive Criminal Law	68
	B. Criminal Procedure	68
	<i>United States v. Knipp</i>	70
	Chapter Terms	73
	Review Questions	73
Section III	SUBSTANTIVE CRIMINAL LAW	75
<hr/>		
CHAPTER 6	PRINCIPLES OF CRIMINAL JURISDICTION	77
<hr/>		
	Chapter Objectives	77
	A. Customary International Law as a Basis of Legislative Jurisdiction	78
	1. Territorial Principle	79
	a. Subjective Form	80
	b. Objective Form	80
	c. Application of the Territorial Principle to Admiralty Jurisdiction	81
	<i>United States v. Davis</i>	82
	2. Non-Territorial Principles of Jurisdiction	84
	<i>United States v. Zehe</i>	85
	B. Domestic Law as a Basis of Legislative Jurisdiction	88
	Chapter Terms	89
	Review Questions	89

CHAPTER 7	THE AMERICAN FEDERAL SYSTEM AND CRIMINAL JURISDICTION	91
	Chapter Objectives	91
	A. The Power of the Federal Government to Apply Federal Criminal Law Inside and Outside the United States	92
	1. Power to Apply Federal Criminal Law Outside the Territorial Jurisdiction of the States	92
	<i>United States v. Yunis</i>	94
	<i>People v. Schurman</i>	98
	2. Jurisdiction to Apply Federal Criminal Law Within the States	99
	<i>United States v. Lopez</i>	101
	B. The Power of the States to Apply State Criminal Law Inside and Outside of Their Borders	106
	<i>State v. Stepansky</i>	108
	C. Constitutional Limits on the Power of the Federal Government and States to Exercise Legislative Jurisdiction Extra-Territorially	112
	D. Concurrent Federal and State Jurisdiction	112
	<i>People v. Lewis</i>	114
	Chapter Term	118
	Review Questions	118
	Additional Reading	118
CHAPTER 8	CONSTITUTIONAL LIMITS ON THE POWER TO DEFINE CRIMES	121
	Chapter Objectives	121
	A. The Bar on Enacting Ex Post Facto Laws	122
	<i>State v. Dettler</i>	123
	B. Prohibition Against Bills of Attainder	127
	C. Limitations Imposed by the Equal Protection Clause	128
	D. Limitations Imposed by Due Process Clauses	129
	1. Substantive Due Process	130
	2. Void for Vagueness	131

E. Limitations Imposed by the Bill of Rights	131
1. The First Amendment	131
<i>United States v. Coronado</i>	132
<i>Employment Division v. Smith</i>	137
2. The Second Amendment	143
3. The Fifth Amendment	144
4. The Fourth Amendment	144
Chapter Terms	144
Review Questions	144
CHAPTER 9 ACCOUNTABILITY FOR CRIMINAL CONDUCT	147
Chapter Objectives	147
A. Principles of Accountability	148
1. Common Law Parties to a Crime and Their Elimination by Some Statutes	148
a. Types of Accomplices Under the Common Law	149
<i>United States v. Peichev</i>	150
b. Modern Statutory Approach	153
2. What Makes a Person Accountable for the Criminal Conduct of Another	154
a. Aiding and Abetting	155
<i>Commonwealth v. Raposo</i>	156
b. Causing	160
<i>United States v. Rapoport</i>	160
3. Extent of Accessory's Accountability	162
<i>People v. Kessler</i>	163
4. Legal Incapacity of Accessory to Commit Offense	166
5. Termination of Accountability	167
<i>State v. Guptill</i>	167
B. Corporate and Corporate Officer Accountability	169
1. Corporate Criminal Responsibility	170
a. Federal Law	170
b. State Law	174

2. Responsibility of Corporate Officers for Corporate Criminal Conduct	174
<i>People v. International Steel Corp.</i>	175
Chapter Terms	177
Review Questions	177
Additional Reading	178
CHAPTER 10 CATEGORIES AND TYPES OF CRIMINAL OFFENSES	181
<hr/>	
Chapter Objectives	181
A. Anticipatory Offenses	186
1. Solicitation	186
2. Attempt	186
<i>United States v. Fleming</i>	187
3. Conspiracy	189
B. Offenses Against the Person	190
C. Offenses Against Morals	192
D. Offenses Against Property	192
1. Intrusion Crimes	192
2. Misappropriation Crimes	194
<i>United States v. Cantrell</i>	197
<i>Carpenter v. United States</i>	199
3. Destruction Crimes	203
E. Offenses Against Public Peace and Public Welfare	204
F. Offenses Against Public Authority and Government Function	204
G. Offenses Involving Foreign Relations or International Law	204
Chapter Terms	205
Review Questions	205
Additional Reading	205

CHAPTER 11	HOW CRIMINAL OFFENSES ARE DEFINED	209
Chapter Objectives		209
A. Mens Rea		210
1. <i>Mens Rea</i> at Common Law		211
a. Specific Intent		211
b. General Intent		212
c. Transferred Intent		212
<i>Regina v. Pembilton</i>		213
2. <i>Mens Rea</i> in the Model Penal Code		215
3. Other Forms of <i>Mens Rea</i>		216
4. Motive and <i>Mens Rea</i>		217
5. Offenses Without Mental States		217
<i>People v. Sevilla</i>		218
B. Actus Reus		222
1. Voluntariness		223
<i>Fain v. Commonwealth</i>		223
2. Act		228
<i>People v. Beardsley</i>		229
C. Concurrence Between Mens Rea and Actus Reus		233
<i>Wilson v. State</i>		234
<i>Queen v. Khandu</i>		236
D. Causation		240
1. Cause in Fact		240
<i>State v. Kenny</i>		241
2. Proximate Cause		244
E. Circumstances		245
F. Analysis of Criminal Offenses		247
Review Questions		258
Additional Reading		258

CHAPTER 12 AFFIRMATIVE DEFENSES—EXCUSE 261

Chapter Objectives	261
A. Introduction to Affirmative Defenses	262
B. Excuse Defenses	264
1. Insanity	265
<i>State v. Corley</i>	268
<i>State v. Worlock</i>	272
2. Intoxication	279
<i>State v. Walden</i>	279
<i>State v. Vaughn</i>	284
<i>Sluyter v. State</i>	287
3. Infancy	290
4. Entrapment	291
<i>United States v. Gustin</i>	291
a. The Subjective Form of Entrapment	294
<i>United States v. Millet</i>	296
b. The Objective Form of Entrapment	301
c. Necessity of Admitting the Offense	301
<i>People v. Eaglin</i>	302
5. Duress	304
<i>D'Aquino v. United States</i>	305
6. Public Authority	308
7. Entrapment by Estoppel	308
Chapter Terms	309
Review Questions	309
Additional Reading	310

CHAPTER 13 AFFIRMATIVE DEFENSES—JUSTIFICATION 313

Chapter Objectives	313
A. Self-Defense	314
<i>State v. W.J.B.</i>	316

	B. Defense of Others	322
	C. Defense of Property	325
	D. Necessity	325
	<i>Long v. Commonwealth</i>	326
	Review Questions	330
	Additional Reading	331
CHAPTER 14	AFFIRMATIVE DEFENSES—FAILURE OF PROOF AND OFFENSE MODIFICATION DEFENSES	333
<hr/>		
	Chapter Objectives	333
	A. Failure of Proof Defenses	334
	1. Mistake	334
	<i>Cheek v. United States</i>	335
	2. Alibi	340
	B. Offense Modification Defenses	340
	1. Impossibility	341
	<i>People v. Teal</i>	341
	2. Victim-Accomplice Rule	343
	3. Inevitably Incident Conduct Rule	344
	<i>State v. Duffy</i>	344
	4. Wharton's Rule	348
	Chapter Terms	349
	Review Questions	349
CHAPTER 15	AFFIRMATIVE DEFENSES—NON-EXCULPATORY DEFENSES	351
<hr/>		
	Chapter Objectives	351
	A. Double Jeopardy	352
	1. Jeopardy	352
	<i>Aleman v. The Honorable Judges of the Circuit Court of Cook County</i>	353

2. Same Offense	358
3. Multiple Prosecutions and Punishments	360
4. Same Sovereign	361
<i>Heath v. Alabama</i>	361
B. Statute of Limitations	366
C. Pre-Indictment Delay	367
D. Speedy Trial	368
E. Ex Post Facto Laws and Bills of Attainder	369
<i>Jones v. Slick</i>	370
F. Selective Prosecution	371
G. Legislative Immunity	372
H. Outrageous Government Conduct	372
<i>United States v. Miller</i>	373
Chapter Terms	377
Review Questions	377

Section IV

CRIMINAL PROCEDURE 379

CHAPTER 16 CRIMINAL PROCEDURE DURING THE INVESTIGATIVE STAGE—SEARCH AND SEIZURE 381

Chapter Objectives	381
A. When the Fourth Amendment Applies to Searches and Seizures	382
<i>United States v. Vilches-Navarette</i>	383
B. How the Fourth Amendment Applies to Searches	387
1. Protection Afforded by the Fourth Amendment	387
<i>United States v. Hoffa</i>	389
2. What Must Be Established to Obtain a Search Warrant	392
3. Descriptiveness Required for the Application and Warrant	397
4. Execution of a Search Warrant	403

5. Searching and Seizing Electronically Stored Information	411
a. Searches of Electronic Storage Devices	412
b. Searches of Systems of Electronic Service Providers	413
c. Overbreadth and Particularity	415
6. Exceptions to the Warrant Requirement	417
<i>United States v. Willie</i>	418
7. Enforcing the Fourth Amendment Requirements for Searches	424
C. How the Fourth Amendment Applies to Seizures of Persons	428
1. Police-Citizen Encounters	428
<i>Whren v. United States</i>	430
2. Arrest Warrants	433
<i>People v. Robinson</i>	434
3. Enforcement of Fourth Amendment Requirements for Arrests	438
Chapter Terms	439
Review Questions	439
CHAPTER 17	CRIMINAL PROCEDURE DURING THE INVESTIGATIVE STAGE — PRIVILEGE AGAINST SELF-INCRIMINATION AND OTHER RIGHTS
	443
Chapter Objectives	443
A. Rights Conferred by the Fifth Amendment	444
1. Nature of the Privilege Against Self-Incrimination	445
<i>United States v. Pilnick</i>	448
2. When the Fifth Amendment Privilege Against Self-Incrimination Is Applicable	452
a. Persons	452
b. Compulsion	452
c. Testimony	454
d. Self-Incrimination	455
3. Immunity and Elimination of the Privilege	455

4. Right to Be Informed of the Privilege	461
<i>United States v. Kilgroe</i>	462
a. Custody Under <i>Miranda</i>	464
<i>People v. Bury</i>	465
b. Interrogation	468
<i>Perkins v. Illinois</i>	468
c. Operation of <i>Miranda</i>	472
<i>Claudio v. Scully</i>	473
5. Required Records Exception	477
B. Vienna Convention on Consular Relations	478
Chapter Terms	481
Review Questions	481
Additional Reading	482
CHAPTER 18	CRIMINAL PROCEDURE AT THE CHARGING STAGE
	485
Chapter Objectives	485
A. Commencement of Prosecutions	486
1. Felony Prosecutions	486
<i>State v. Childs</i>	489
2. Misdemeanor Prosecutions	497
B. Rights at Charging	504
1. Venue	505
<i>People v. Gallegos</i>	509
2. Sufficiency of the Charge	512
3. Right to Counsel	512
<i>United States v. Hayes</i>	514
4. Right to a Speedy Trial	522
Chapter Terms	522
Review Questions	523

CHAPTER 19	CRIMINAL PROCEDURE DURING THE PRE-TRIAL STAGE	527
<hr/>		
	Chapter Objectives	527
	A. Adjudicative Jurisdiction and Acquisition of In Personam Jurisdiction	528
	<i>Kasi v. Commonwealth</i>	531
	B. Bond Hearing	535
	C. Arraignment	538
	D. Disclosure	539
	1. Constitutionally Mandated Disclosures	539
	2. Discovery	540
	E. Motions	547
	F. Plea Bargaining	547
	<i>People v. Navaroli</i>	550
	G. Pre-Trial Publicity	553
	Chapter Terms	558
	Review Questions	558
	Additional Reading	559
CHAPTER 20	CRIMINAL PROCEDURE DURING THE TRIAL STAGE	561
<hr/>		
	Chapter Objectives	561
	A. Presumption of Innocence and the Burden of Proof in a Criminal Trial	562
	B. Defendant's Rights at Trial	562
	1. Right to Trial by Jury	563
	<i>People v. Smith</i>	566
	2. Right to Public Trial	572
	3. Right to Use Court Process to Compel Attendance of Witnesses	572
	4. Right to Confront Witnesses	573
	<i>People v. Brown</i>	576
	5. Privilege Against Self-Incrimination	580

	C. Stages of a Criminal Trial	581
	Chapter Terms	585
	Review Questions	586
CHAPTER 21	CRIMINAL PROCEDURE DURING THE POST-TRIAL STAGE — SENTENCING AND BEYOND	589

	Chapter Objectives	589
	A. Post-Trial Motions	590
	B. Sentencing	591
	1. Limitations Imposed by the Eighth Amendment on Criminal Sentences	591
	a. Cruel and Unusual Punishment	591
	b. Excessive Fines	594
	2. Types of Authorized Sentences	594
	3. Who Determines What Criminal Sentence Is Imposed and How That Sentence Is Determined	597
	a. Sentencing in Capital Cases	597
	b. Sentencing in Non-Capital Cases	599
	C. Appeals	606
	D. Post-Conviction Remedies and Habeas Corpus	608
	E. Clemency	611
	<i>Herrera v. Collins</i>	611
	Chapter Terms	621
	Review Questions	621
	<i>Glossary</i>	625
	<i>Table of Cases</i>	631
	<i>Index</i>	647